

# Effective Communication in Health Care

---

**Authors:**

**Darryl S. Chutka, MD**

*Associate Professor of Medicine, Mayo Clinic College of Medicine, Mayo Clinic, Rochester, Minnesota*

**Anthony C. Berman, EdD**

*Assistant Professor of Education, School of Education, Hamline University, Saint Paul, Minnesota*

**Citation:**

Chutka DS, Berman AC. Effective communication in health care [published online April 3, 2019]. (

Despite the fact that medical knowledge and technology have both increased significantly with time, many patients are dissatisfied with the US health care system. They find it difficult to navigate the financial aspects of health care, frequently dealing with insurance companies and pharmacy formularies, limiting which specialized medications they can take, and how much of their health care will be covered by insurance. They often have a limited amount of time they are given to see their health care provider. Health care providers face difficulty dealing with the changes in health care, as they are being required to see more patients and to document their work. The electronic medical record and its associated challenges has also become a challenge for most providers. What has not changed, however, is the fact that patients still experience illness and associated pain and suffering, feeling of vulnerability, fear of the future, and potential limitations on their ability to continue to need a health care provider who can address their needs; that starts with effective communication between the health care provider and the patient.

One of the most common complaints from patients is that their health care provider does not listen. Patients commonly complain that due to economic constraints, the time they have with the patient is becoming shorter and inadequate. This creates a dilemma for the caregiver and makes it more difficult to obtain the information needed to develop a care plan and establish the rapport needed to create a meaningful provider-patient relationship. It is more important than ever for providers to have effective communication with their patients. Effective communication between providers and patients has been shown to improve treatment compliance, enhance mutual understanding, and strengthen the provider-patient relationship.<sup>1-3</sup> Poor communication is often the reason for complaints about health care organizations and has been shown to result in an increased risk of future malpractice litigation.<sup>1,2</sup>

Health care providers are trained to assess illness in patients using objective measures including physical examination, laboratory test findings, and imaging study results. It is recognized that a value is often missed. The social aspects of medicine need to be in harmony with the scientific components. In exploring, it is important that patients feel a bond with their health care provider and establish a relationship of mutual understanding. They need a validation of their fears and emotions. Providers generally agree that empathy and acknowledge that this is an important component of medical practice. The establishment of a relationship is essential to the effective practice of clinical medicine. It is a unique relationship between the provider and the patient who in many cases have not met before. Yet within a short time, the provider is granted access to intimate details of the patient's life. Patients generally assume their provider is competent and has expertise in clinical medicine. Other than board certification, there are very few ways for patients to determine a provider's medical competence. It is much easier for patients to assess whether their provider is approachable and has a good understanding. These are the criteria they often use to judge the quality of their provider. Health care providers are being evaluated on their communication skills in satisfaction surveys completed by patients. In so doing, these evaluations can have financial implications for the provider.

The education of a health care provider includes significantly more than acquiring competence in technical expertise. Effective providers must also have excellent communication skills in order to communicate with patients, their families, and other health care professionals. The importance of teaching communication skills is widely recognized and considered one of the core competency areas not only for medical students, but also for residents. Instruction in effective communication skills has become a standard part of the curriculum at the undergraduate and graduate school levels. It is also being addressed in the curricula for continuing medical education for practicing providers. Health care providers need to know the available tools that can allow them to efficiently communicate with their patients, as well as gather the medical information they require in order to establish a diagnosis.

## **Communications Toolbox**

The medical history has two purposes: information gathering and the establishment of rapport between the provider and the patient. The traditional approach to information gathering that we have all been taught includes asking for the chief concern(s) or main problem(s), followed by a history of present illness, past medical history, surgical history, family history, and a systems review. Although the patient rarely gives medical information in the traditional sequence that is written in the clinical note. Providers often ask focused questions to obtain information on each component of the medical history. This is especially likely when they feel pressured for time. This approach is considered "provider-centric." Although commonly used, it does not give the patient the latitude to express their concerns, and it also limits the accuracy of the medical history. It is more effective to use a "patient-centered" approach. Open-ended questions should be asked such as, "Tell me about your pain," or, "How would you describe your symptoms?" Patients should be allowed to speak uninterrupted as they describe their health problems to their provider. This does not take significantly more time and yields a more accurate medical history as well as satisfaction. This approach allows patients to feel that their provider is actually interested in them and what they have to say.

Health care providers should establish an agenda for the patient's visit, and it is important to know expectations are. Patients commonly have more than one reason for seeking medical care, and the expressed is not always the most important to them or the most important to their well-being. This bringing up an issue of importance at the end of the visit, commonly resulting in inadequate time and significant delay in the interview process. A more effective approach is to establish an agenda with "What else?" Patients are asked what medical problem or problems they have. After the patient starts asking "What else?" allows the patient to bring up other health concerns. As the provider continues the patient's health issues are eventually exhausted. On occasion, the patient will bring in a list of provider should ask whether the patient has such a list. If so, it is important for the provider to review help in the prioritization process. The provider has the opportunity to determine the topics for discussion may be determined that there is inadequate time to discuss all of the patient's concerns, and priorities take place. It is the provider's responsibility to determine which items need to be discussed during which ones are able to wait for a subsequent visit. The patient may also be part of the negotiation agenda. If all of the issues are to be addressed during the interview, the provider is then able to devote time can be devoted to each issue, resulting in a more efficient and effective medical interview.

The second purpose of the medical history is to establish rapport with the patient. Only after rapport between the patient and provider will patients feel comfortable discussing sensitive areas of their lives. To provide optimal medical care to patients, we need to know their philosophy regarding their life and their health. For example, it will serve no purpose if a provider establishes a correct diagnosis and treatment that includes pharmacologic therapy if the patient is strongly opposed to taking any medications. During a medical interview, the patient establishes a sense of trust with the provider. This will lead to greater compliance with recommendations given by the provider. This is important if we are trying to encourage our patients to lose weight, start an exercise program, or eat correctly. Understanding a patient's feelings and perspective helps providers demonstrate empathy, patients have greater satisfaction with them and show a greater compliance with treatment recommendations. A variety of responses help demonstrate empathy. This includes good eye contact, appropriate posture, and mannerisms of the provider. Reflective phrases such as, "Let me see if I understand you by telling me," or, "I want to be sure I understand what you're saying," result in the patients' realizing they are interested in what they are saying and feel it is important to have accurate information. It shows that the provider is actually listening to the patient and wants to understand. This technique is known as active listening or reflection at the end of the patient interview on a particular topic. This represents a review of the patient's perspective regarding their history. "What I hear you saying is that you developed this sharp pain just below your shoulder after you woke up. Is that correct?" This allows the patient to make any necessary corrections in their history. Empathy also includes a recognition of the patient's emotional response to symptoms such as, "You seem somewhat frightened by this," or, "This must have been very difficult for you, and you appear somewhat distressed. Your emotional response is obvious, but at times it may not be clear.

An effective tool that can be used to help build a relationship with patients is the mnemonic *PEAF*

*P* represents *partnership*. Having one's health or symptoms evaluated can be a frightening experience and have a fear that their symptoms represent a serious disease. Conveying to the patients that they are not alone and that "we are in this together" improves the interpersonal aspects of medicine. It is important to use "we" instead of "you" when discussing plans for evaluation or treatment.

*E* stands for *empathy*. To be an effective health care provider, we must show empathy toward our patients and put our feelings into words and shows that we have an understanding with our patients. When a patient demonstrates a specific emotion, it can be helpful for the provider to address that: "You look sad/frustrated." When emotions are brought out into the open, there is often a greater sense of understanding between the provider and the patient.

*A* represents *apology* or *acknowledgement*. When a problem has occurred or an error has taken place, it is important to show concern and compassion. Comments such as, "I'm sorry you've had to wait," or, "I'm sorry I order medication for you," can be helpful. It is also helpful when acknowledging difficult situations. "I'm sorry about the news for you," or, "I'm sorry you are going through this difficult time."

*R* stands for *respect*. It is important for patients to know that we respect them and their decisions, even if the patients have made an incorrect choice. We can still show respect for their attempts to improve their health, such as, "You've worked very hard on this, and I respect the fact that you are trying to improve your health." Let our patients know that we value their efforts.

*L* is for *legitimization*. This helps to acknowledge our patients' thoughts and feelings. "Anyone would be frustrated if you've been through and the fact that you're not feeling any better."

*S* stands for *support*. Conveying support for the patient is extremely important in health care. Patients need to know we will be available to them in the future. This is even more important when patients are given a new therapy. There is a sense of comfort in the knowledge that we will be available if and when they need us.

## **Nonverbal Communication**

A significant portion of a provider-patient relationship is determined not by what providers say, but by what is communicated by their body language.<sup>6</sup> Nonverbal communication affects how patients determine if they are being respected, and trust their provider. The psychologist/sociologist James Borg estimated that "Human communication is 93% body language and paralinguistic clues, while only 7% of communication consists of words."

There are two components to nonverbal communication: how a patient views the provider, and how the provider views the patient. Providers use nonverbal clues of our patients as part of our evaluation. It is helpful to estimate a patient's history, the degree of pain they are experiencing, and their emotional state. It is a valuable tool for providers as part of their patient assessment. We evaluate the amount of eye contact the patient is making, how they are doing with their extremities, their facial expression in showing emotion, and tone of speech.

Similarly, patients assess our nonverbal communication in their impression of us as health providers. Patients evaluate our thoroughness and degree of compassion. It is estimated that a patient's first impression takes place within the first 30 seconds of meeting a provider.

provider-patient encounter. Time estimates vary, but many believe that this takes place in the initial interview. Walking briskly into an examination room can give patients an impression that their provider may not have adequate time to address their concerns. The provider should be at eye level with the patient while standing over them conveys a sense of power by the provider over the patient. Eye contact is an important part of nonverbal communication. A variety of emotions can be conveyed by our eyes, including hostility, and compassion. Inadequate eye contact with the patient and an excessive time taking notes can irritate the patient. Even the distance the provider sits from the patient plays a role. Sitting too far from the patient conveys an appearance of indifference, while sitting too close can make the patients feel uncomfortable and that their personal space is being invaded. Patients have indicated that when their physicians face them and make eye contact they have increased satisfaction with the provider.<sup>8</sup> This satisfaction decreases when the provider avoids eye contact during the interview, especially when they cross their arms and/or legs. Affirming head motions are associated with high patient satisfaction<sup>9,10</sup> and give patients the impression that their provider is listening. Appropriate light touch by the provider can promote a sense of compassion and empathy; however, touch should be avoided if the provider is uncomfortable with it. Patients can often sense discomfort with touch if it is not something we do comfortably. Our tone of voice often says more than the words. The timing, volume, and speed of delivery can indicate anger, confidence, or compassion. A provider who uses light humor, and occasionally laughs is also viewed favorably by most patients.

### **Giving Patients Bad or Unexpected News**

Occasionally, health care providers need to give patients bad or unexpected news. This can be difficult for both the patient and the health care provider. It is more comforting to the patient when this information comes from a provider with whom they have established a good relationship with the patient. Bad news does not necessarily mean discussing life-threatening conditions which require major changes or even starting pharmacologic therapy can also be difficult to accept. One approach to use for this is the mnemonic SPIKES.<sup>11</sup>

*S* stands for *setting up*. This information should take place in a proper environment. Unless absolutely necessary, it should take place in a face-to-face visit. It can be helpful for the patient to have a family member or friend present.

*P* represents *perception*. It is important to determine how much the patients already know about the condition before sharing the news.

*I* stands for *invitation*. The provider should ask patients if it is acceptable to share their health information.

*K* stands for *knowledge*. Once permission is granted by the patient, the knowledge is shared with the patient. The provider should do this in terms the patient understands.

*E* represents *emotions*. Once this information is conveyed to the patient, the patient may demonstrate various emotions. Feelings of sadness, denial, frustration, or fear are commonly experienced. It is sometimes difficult for the provider to address these emotions and reassure patients that they are normal. Sometimes silence is best for the provider while patients weigh the information they just received.

S is for *summary* or *strategy*. Once the patient is ready to continue, the summary or strategy for the discussion is discussed. Rather than asking patients if they have any questions, it is more effective to ask, "What do you have?" with the expectation that the patient does have questions. The tendency is to give patients information which can overwhelm them. It is also common for patients to hear very little of what the provider says when they are given the bad news. Sometimes, it is best to give just the information the patient requested.

## **Patient Education**

Conveying clinical information to our patients can be challenging. Describing medical information to someone speaking a foreign language. The information is often technical, and although other health care providers may understand our patients often do not. To confuse the issue, patients' understanding of their medical condition is often influenced by their personal fears, beliefs, and values, as well as what they have heard from others. Most patients get their health information contained in the press and on the internet. While much of this information can be helpful, inaccurate information also is present.

During patient education, the technique of "ask-tell-ask" can be helpful.<sup>12</sup> When discussing a medical condition it is wise to determine the baseline knowledge the patient possesses. Some patients will have already heard about the topic and have a fair amount of knowledge regarding their condition. Others will have no background knowledge or have heard incorrect information. Once the baseline information regarding their condition is known, the information then be given to patients in terms that they will understand. After the information has been discussed, it is important to ask their understanding and their feelings. This is done to help ensure that the patient completely understands the information.

## **Electronic Medical Record**

An electronic medical record (EMR) can be a major hindrance to good communication between health care providers and patients. The EMR monitor is often placed in a position directly in front of the provider. It can result in less direct contact between the provider and the patient and has the potential to create a distraction to communication. On the other hand, if used correctly, the EMR can play a major role in improving communication. It can enhance communication and improve the efficiency of an office visit.

The EMR contains a wealth of information about the patient that can be quickly accessed. A medical history, recent medications, subspecialty consultations, laboratory test results, and imaging study results are all available to the provider. These can help with a discussion of treatment recommendations. It is recommended that the provider review the patient's medical information on the EMR prior to entering the examination room. To engage the patient in conversation for a few minutes prior to logging on to the EMR. The location of the EMR monitor has a major role in whether the EMR improves or impairs communication. It should ideally be located between the provider and the patient forming a triangle, positioned such that both are able to view the information on the screen. The EMR notes can be reviewed together, providing a framework for the medical interview and facilitating a discussion of the medical problem. Trends in laboratory test results can be easily demonstrated along with various graphs. Gradual worsening of blood glucose or cholesterol readings, for example, can be eye-opening for

over time. Sharing imaging studies allow for ease in showing patients why their knee hurts or why continue treatment for osteoporosis.

## Conclusion

## References

1. Simpson M, Buckman R, Stewart M, et al. Doctor-patient communication: the Toronto consensus statement. *BMJ*. 1991;303(6814):1385-1387.
2. Mead N, Bower P. Patient-centered consultations and outcomes in primary care: a review of the literature. *Patient Educ Couns*. 2002;48(1):51-61.
3. DiMatteo MR. Adherence. In Feldman MD, Christensen JF, eds. *Behavioral Medicine in Primary Care: A Practical Guide*. Stamford, CT: Appleton & Lange; 1997:136.
4. Levinson W, Roter DL, Mullooly JP, Dull VT, Frankel RM. Physician-patient communication: the relationship with malpractice claims among primary care physicians and surgeons. *JAMA*. 1997;277(7):553-559.
5. Clark W, Hewson M, Fry M, Shorey J. Communication Skills Reference Card. McLean, VA: American Academy on Physician and Patient; 1998.
6. Berman AC, Chutka DS. Assessing effective physician-patient communication skills: “Are you listening to me, doc?” *Korean J Med Educ*. 2016;28(2):243-249.
7. Borg J. *Body Language: 7 Easy Lessons to Master the Silent Language*. Upper Saddle River, NJ: FT Press; 2010:94-95.
8. Larsen KM, Smith CK. Assessment of nonverbal communication in the patient-physician interview. *J Fam Pract*. 1981;12(3):481-48
9. Wasserman RC, Inui TS, Barriatua RD, Carter WB, Lippincott P. Pediatric clinicians’ support for parents makes a difference: an outcome-based analysis of clinician-parent interaction. *Pediatrics*. 1984;74(6):1047-1053.
10. Comstock LM, Hooper EM, Goodwin JM, Goodwin JS. Physician behaviors that correlate with patient satisfaction. *J Med Educ*. 1982;57(2):105-112.
11. Baile WF, Buckman R, Lenzi R, Glober G, Beale EA, Kudelka AP. SPIKES—a six-step protocol for delivering bad news: application to the patient with cancer. *Oncologist*. 2000;5(4):302-3
12. The 10 building blocks of primary care: “ask tell ask” sample curriculum. UCSF Center for Excellence in Primary Care.  
[https://cepc.ucsf.edu/sites/cepc.ucsf.edu/files/Curriculum\\_sample\\_14-0602.pdf](https://cepc.ucsf.edu/sites/cepc.ucsf.edu/files/Curriculum_sample_14-0602.pdf). Accessed April 3, 2019.

[HMP Education](#)   [HMP Omnimedia](#)   [HMP Europe](#)

© 2024 HMP Global. All Rights Reserved.   [Cookie Policy](#)   [Privacy Policy](#)   [Term of Use](#)